IRA rollover / transfer form

Use this form to move your retirement assets from another IRA provider or retirement plan to a new or existing DWS Fund IRA. Please complete a separate form for each transfer, direct rollover or conversion. If you are opening a new IRA account, a completed IRA application must also be submitted.

For assistance in completing this form or for additional information, please contact Shareholder Services. Call: (800) 728-3337 or e-mail: service@dws.com

Step 1 Account owner information

Name of Account Owner

Social Security Number

Date of birth – MM/DD/YYYY

U.S. Residential Address

City

State

Zip

Daytime Phone Number

( )

Extension

Step 2 Resigning custodian information

Name of Custodian

Name of Contact (person or department)

Account Number (with resigning custodian)

Address

City

State

Zip

Daytime Phone Number

( )

Extension

Step 3 Rollover / transfer information

Direct rollover or transfer from:

- ☐ Traditional IRA
- ☐ Traditional IRA for minor
- ☐ Roth IRA
  - ☐ Contribution (contains only Roth contribution amounts and earnings)
  - ☐ Conversion (contains only Roth conversion amounts and earnings)
  - ☐ Commingled (contains both Roth contribution amounts and earnings and Roth conversion amounts and earnings)

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Step 3 Rollover / transfer information (continued)

- ☐ Roth IRA for minor
  - ☐ Contribution (contains only Roth contribution amounts and earnings)
  - ☐ Conversion (contains only Roth conversion amounts and earnings)
  - ☐ Commingled (contains both Roth contribution amounts and earnings and Roth conversion amounts and earnings)
- ☐ SEP-IRA/SAR-SEP IRA
- ☐ Employer-sponsored plan (profit sharing, money purchase, 403(b), etc.)
- ☐ Other

Direct rollover or transfer to:

- ☐ Traditional IRA
- ☐ Traditional IRA for minor
- ☐ Roth IRA
  - ☐ Contribution (contains only Roth contribution amounts and earnings)
  - ☐ Conversion (contains only Roth conversion amounts and earnings)
  - ☐ Commingled (contains both Roth contribution amounts and earnings and Roth conversion amounts and earnings)
- ☐ Roth IRA for minor
  - ☐ Contribution (contains only Roth contribution amounts and earnings)
  - ☐ Conversion (contains only Roth conversion amounts and earnings)
  - ☐ Commingled (contains both Roth contribution amounts and earnings and Roth conversion amounts and earnings)
- ☐ SEP-IRA[1]

Step 4 Distribution instructions

This distribution is a (select one):

- ☐ Liquidation (Select this option if resigning custodian will issue a check to DWS Trust Company.)
- ☐ Transfer-in-kind of DWS fund shares (Select this option only if transferring DWS fund shares from a retirement account with a bank or brokerage firm as custodian, to the same type of retirement account with DWS Trust Company as custodian).

Amount to liquidate or transfer-in-kind:

- ☐ Full amount $ _____ (approximate value)
- ☐ Partial withdrawal of $ _____ OR _____ % of the account value

When to liquidate or transfer-in-kind:

- ☐ Immediately
- ☐ After date of _____ MM/DD/YYYY
- ☐ Before date of _____ MM/DD/YYYY

[1] DWS does not offer new SAR-SEP IRAs. New plans have not been allowed since January 1, 1997, but a new participant or investment can be added to an existing SAR-SEP plan.

Step 5 Purchase instructions

Purchase into:

☐ My existing DWS Fund IRA. The account number is

☐ New DWS Fund IRA account (I am also submitting an application).

For a new account, the minimum investment is $500 for A and C shares, and $1,000 for S shares. Class A shares will be purchased if no share class is indicated.

Please select a share class: ☐ A ☐ C ☐ S

Please allocate purchase for existing or new account. Percentages must equal 100%

Fund Name, Number, or NASDAQ Symbol	Dollar Amount*		Percentage*	
	$	OR		%
	$	OR		%
	$	OR		%
	$	OR		%

You must also complete an IRA application if you are not moving the assets into an existing DWS Fund IRA, or if the type of IRA you want to move is different than the type of DWS Fund IRA you currently have. (Examples of IRA types: Traditional IRA, SEP-IRA, Contribution Roth IRA, Conversion Roth IRA, and Commingled Roth IRA.) You will be provided with a new account number.

Step 6 Terms

I certify that I have the authority and legal capacity to request this transfer. I understand that by completing this form, I will be investing in Class A, C or S shares of the DWS funds that I have selected. For each fund selected, I have received the prospectus. I understand the investment objectives, and I agree to the terms and conditions set forth in the prospectus and Statement of Additional information.

I understand that if I am attempting to open a DWS Class S IRA, and I do not select a fund for investment, or if DWS cannot determine which fund I have selected, my investment check will be used to purchase shares of DWS Money Market Prime Series - DWS Cash Investment Trust Class S. If I am attempting to open a Class A share account, and I do not select a fund for investment, or DWS cannot determine which fund I have selected, my investment check will be used to purchase shares of DWS Money Market Prime Series - DWS Money Market Fund. If I attempting to open a Class C share account, and I do not select a fund for investment, or DWS cannot determine which fund I have selected, my investment check will be used to purchase shares of DWS Money Market Prime Series - DWS Cash Investment Trust Class C.

*If more than one fund is selected and no allocation is provided, the investment will be evenly allocated among all funds selected.

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I request that the Custodian or Trustee named in Step 2 liquidate and transfer my IRA /retirement plan assets as cash to DWS Trust Company and a DWS Fund IRA. I understand that the Custodian transferring my IRA assets may be obligated by IRS regulations to withdraw the required distribution amount from a Traditional IRA before transferring the balance if I have reached the applicable age. This is Article VIII, paragraph 1, of IRA Form 5305-A (10/10) for Traditional IRAs, and Article VIII, paragraph 1, of IRA Form 5305-RA (10/10) for Roth IRAs, which are incorporated herein by reference and supplemented above. Once DWS acknowledges receipt of this form by mail it shall be deemed accepted, and therefore effective, as of the date I signed it.

Terms and tax withholding information for a Traditional IRA converting to a Roth IRA: I understand the eligibility requirements for the type of conversion I am making and state that I qualify to establish a Roth IRA and make the conversion. I assume full responsibility for this conversion transaction and for determining my eligibility for conversions made to a Roth IRA, DWS will not be liable for any adverse consequences that may result. I am aware that I am liable for the payment of federal income tax and possibly state and local taxes on the taxable portion of my conversion(s). I also understand that I may be subject to tax penalties under estimated tax payment rules if my tax payments and withholding amounts are not sufficient. I hereby certify that I understand the conversion rules and conditions as they pertain to this Roth IRA and/or Traditional IRA, and I have met the requirements for making a conversion from my Traditional IRA. Due to the important tax consequences of this conversion of funds or property from a Traditional IRA, I have been advised to consult with a tax professional. All information provided by me is true and correct and may be relied upon DWS. I hereby designate the conversion of funds or other property as conversion contributions.

Please mail this page back with the rest of the form.

Signature

Note: Please check with your current custodian to see if they require a Medallion Signature Guarantee to transfer funds to DWS.

Your signature is required and indicates that you agree to the terms in Step 6 of this form.
Sign your name exactly as it appears on the account with the resigning custodian.

Signature of Account Owner	Date – MM/DD/YYYY

Acceptance by custodian

For internal use only. Please do not complete.

Account Number

Please note: See enclosed letter for payee and liquidation instructions.

We agree to accept custodianship and the transfer described above for the DWS Fund IRA plan established on behalf of the above-named individual. DWS Trust Company accepts its appointment as successor Custodian of the above IRA and requests the liquidation and/or transfer of assets as indicated above. We cannot accept transfers in-kind.

Signature DWS Service Company	Date – MM/DD/YYYY	**DWS Trust Company**
		DWS Trust Company, Custodian

(This form is valid only if signed by an authorized representative of DWS).

Please mail completed form to:

DWS Service Company
P.O. Box 219151
Kansas City, MO 64121-9151

Overnight Address:

DWS Service Company
801 Pennsylvania Ave
Suite 219151
Kansas City, MO 64105-1307

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